

EFFECTIVE STARTING METHODS FOR THE EVALUATION OF INITIAL ESTIMATES FOR NEWTON-RAPHSON LOAD FLOWS

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Abstract- Load flow calculations are performed on any electric energy system to enable system engineers to satisfy the needs of the society from electric energy, and are necessary in making projects and research work connected with system planning and development.

The most popular and widely used method for these calculations is the Newton-Raphson method which is advantageous to other methods by its quadratic convergence property. There are cases, however, where the Newton-Raphson method is divergent. One of the main reasons for this divergence is its high sensitivity to the initial estimates of the unknowns.

This paper presents two starting methods for the evaluation of the initial estimates for Newton-Raphson load flows. The initial estimates calculated by these methods are found to guarantee a reliable convergence and increased speed of solution by the Newton-Raphson method. The reduction in computer time has amounted to as high as 50% of the time without starting methods.

1. INTRODUCTION

Load flow calculations are performed on any electric energy system to enable system engineers at the dispatching center to satisfy the needs of the society from electric energy. They are also necessary in making projects and research work connected with system planning, expansion, and development. For these reasons, load flow is the most often carried out of routine computer power network analyses.

The earliest known practical approach to load flow calculations was the Gauss-Seidal iterative method [1] using the system nodal admittance matrix. This method was well-suite to the early generation of computers since it requires minimal computer storage. Although it performs satisfactorily on many problems, it converges slowly; and too often not at all. The incentive to overcome this deficiency led to the development of many other methods, most popular of which is the Newton-Raphson (N-R) method [2-4]. Since then a mathematicians and engineers have been involved in intensive developments to this method to improve its reliability, speed, and computation ability [5-9].

With its quadratic convergence ability, there are cases however where the N-R method is divergent. One of the main reasons for divergence, or for slowing down the convergence, is its high sensitivity to the values of the initial estimates of the unknown quantities, namely, bushar voltage moduli and phase angle values. For instance, if these estimates are outside "the area of sol utiling gravity" the convergence is not ensured [10]. The importance of good initial estimates has also been stressed recently [8].

Some programs perform one or two Guass-Seidal iterations before the N-R process [2]. This is beneficial only for cases which could be completely solved by the relatively weak Gauss-Seidel method. Other programs may use the d.c. load flow for calculating the initial angular estimates [4] and a similar technique for the voltage magnitude initial estimates [1]. Authors' experience has shown that, the initial voltage estimates calculated by this process are of values which have very close to the nominal voltage moduli contradicting thereby the idea about the privessity of the starting methods and in the majority of study cases, this process has comfributed only slightly in reducing the number of iterations executed by the main N-R algorithm.

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This paper presents two starting methods developed to guarantee a reliable convergence and increased speed of calculation by the N-R load flows. They are superior to previously known processes [2,11] in that; they fulfil two main requirements:

- a- Simplicity of the algorithm which is demonstrated in the smaller number of calculating operations in comparison with those of one N-R iteration cycle; and
- b- obtaining initial estimates that ensure a reliable solution with appreciably smaller number of iterations for the main N-R process.

In either method, the mathematical expressions for evaluating the initial angular estimates are first set up. These will then be used as variables in setting up the mathematical formulations for the calculation of the voltage magnitudes initial estimates.

2. MATHEMATICAL FORMULATIONS FOR THE DEVELOPED STARTING METHODS

2.1 First Method: *

Consider a vector C whose elements are constructed by the specified powers, P^{5P}, and the nominal voltage values, V_{nom}, i.e. for husbar is

Then, the initial angular estimates, Θ^{16} , can easily be determined from the base-case load flow matrix equation

Matrix D represents constant approximations to the slopes of the tangent hyperplants of the vector C. Its elements are given by

and

$$D_{ii} = \sum_{k \in i} | I / X_{ik}$$

whore

Xik is the reactance of the branch connecting bus i to bus k ; and

k & I dentes a bus k directly connected to bus L.

Supercripts in and sp denote initial estimate and specified value, respectively.

In effect, the matrix O is very close to being the Jacobian submatrix 3, in the well known linearised system of equations

$$\begin{bmatrix} \Delta P \\ \Delta Q \end{bmatrix} = \begin{bmatrix} J_1 & J_2 \\ J_3 & J_4 \end{bmatrix} \begin{bmatrix} \Delta \Theta \\ \Delta V \end{bmatrix} \qquad (3)$$

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but evaluated at system re-load.

The part of equation (3) regarding the reactive power mamusch is used for developing the algorithm for calculating the initial estimates of the busher voltage moduli. With partie talerance, this may be written in the form

The elements of the matrix M are derived from those of J_{A} after the division by V and using the approximations

$$cos \, \partial_{jk} : cos \, (\Theta_j \circ \Theta_k) = 1;$$
 $Q_j / V_j \quad \langle \langle V_j \, \partial_{jk} \rangle \rangle = 1;$
 $G_{jk} : cos \, \Theta_{jk} \quad \langle \langle V_j \, \partial_{jk} \rangle \rangle = 1;$

which are in line with, and much more reflect the actual operating conditions in an electric energy system when compared with those employed in Ref. 17. G_{jk} and G_{jk} are the elements of the system notial admittance matrix Y = G + j G. Hence, the elements of M ares

and

$$M_{jk} = -3_{jk}$$
 , for $j \neq k$

$$M_{|\vec{i}|} + \sum_{k \in |\vec{i}|} B_{|\vec{k}|}$$

which clearly indicate that M is effectively the imaginary parrt of Y.

Detailed study of equation W with the simultaneous consideration of $\Theta^{(e)}$ in calculating the terms (4Q / V) and (3y / V) will result in rewriting it in the form:

where

E is a column vector whose elements are defined by

$$\mathbb{E}_{\parallel} = Q_{\parallel}^{sp} / Y_{\parallel}^{e} + V_{\parallel}^{o} B_{\parallel} + \sum_{k \in \mathcal{X}} Y_{k}^{o} (B_{\parallel k} \cos \Theta_{\parallel k}^{ie} + G_{\parallel k} \sin \Theta_{\parallel k}^{ie}) \quad . \quad . \quad . \quad (6)$$

 \mathbf{J}_3 / \mathbf{V}^0 is a aquare, highly sparse matrix with the elements

$$3_{3ik}/V_i^0 + V_k^0 (G_{ik} \cos \Theta_{ik}^{ie} - B_{jk} \sin \Theta_{ik}^{ie})$$
, if k t and

Solving equation (5) for & V, the initial estimate for voltage magnitude at bus i,

The superscript 0 denotes in input value given to the starting algorithms.

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It should be noted that the presence of the correction term (J_3 / V^0). Θ^{ie} in the left-hand side of equation (5) does not represent a calculating difficulty since its elements are similar to those of E and can be calculated simultaneously with them.

2.2 Second: Method:

In this method, the initial angular estimates are calculated from the equation

$$\Theta^{\text{id}} = D^{-1} \cdot F$$
 (9)

which differs from equation (f) in one respects the elements of the vector F are closely related to those of $\triangle P f V$ than those of C. Whence, for but i

$$F_{i} + P_{i}^{sp} / Y_{i}^{o} - G_{ii} Y_{i}^{o} + N_{i}$$
 (10)

where

$$N_i = \sum_{k \in I} G_{ik} V_k^0$$
;

and D is as already defined by (2).

Equation (9) is best applied where load flow calculations are performed for the first time. If however the results of a previous solution are availab, they are advised to be used as the elements of the vector O^S in the equation

where the correction terms are determined from

Here the vector P is effectively & P / V. i.e. as defined by equation (10) with N, as

$$N_i = \sum_{k=1}^{\infty} V_k^0 \left(G_{ik} \cos \Theta_{ik}^0 - B_{ik} \sin \Theta_{ik}^0 \right)$$

The initial estimates of the voltage magnitudes are to be calculated as for the first method, using equations (5) and (8).

The solutions to the system of equations (1), (5), (9) and (12) are obtained by an exact method with optimal ordered elimination of the unknowns and taking into cosideration the sparsity of matrices D and M. The calculation process involved is found to be less than that necessary for only one iteration cycle of the main N-R algorithms.

D. LOAD FLOW TESTS

The effectiveness of the starting methods presented above has been investigated by solving many load flow problems, using the polar power mismatch version of the N-R method for the main algorithm, with and without starting processes. Included also in the investigation, for comparison purposes, the starting method previously presented by B. Stott [11]. The comparison between the various starting methods is based on the number of iteration cycles executed by the main algorithm for solving each problem

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with a predetermined accuracy of 0.05 MW and MVAr. The results are listed in Table.1 and show that, in general, a starting process leads to a reduction in the number of iteration cycles. The starting processes developed in this paper, however, are advantageous to that due to B. Stott for two reasons:

- a reduction in the number of iteration cycles is always ensured, which is not the case for Stott's process; and
- (ii) the resulting reduction is generally greater.

Table I. Number of iteration cycles for load flow solutions with and without starting methods.

Test	No. of	No. of	Num	ber of iterat	ion cycles			
prob. nodes No.	nodes	bran- chee	N-R without	N-R method with starting methods				
	i szeszent	starting methods	B. Stott's	Developed nethods				
				Ref.[11]	Firet	Second		
1	4	3	3	2	2	2		
2	5	7	6	5	5	5		
3	11	11	3	2	2	5		
4	15	17	3	5	2	2		
5	17	20	3	3	2	2		
6	17	19	9	8	7	7		
7	17	19	8	7	6	6		
8	17	19	11	10	9	9		
9	20	24	12	12	11	11		
10	21	28	7	6	5	5		

Investigations concering the effect on solution speed, convergence property, and reliability of these two methods are also carried out. For this purpose, one of the 10 test-systems, namely no. 5 in Table 1, is considered. The circuit diagram, together with busbar data of this system, are given in Fig. 1, while its branch data are given in the Appendix, Table A1.

The effect on the speed of solution may be examined by considering the absolute angular errors, defined as the differences between the values obtained after a complete solution and those resulting from the starting method alone. It is clear from Table 2 that, for the majority of system nodes, our starting methods give angular assessments which are nearer to the final solution. This is also confirmed by calculating, from the results of Table 2, the arithmetic mean error which is found to be smaller in the cases of our methods than in the case of Ref.[11].

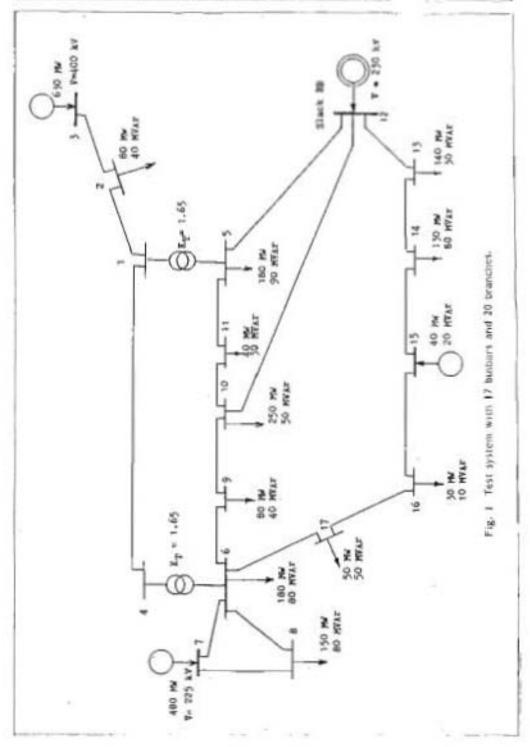
The corresponding percentage errors in busbar voltage magnitudes are listed in Table 3. These results emphasise additional advantage to the developed methods. This fact is further clarified when the arithmetic mean value of the percentage error is considered; it is 0.15% for the first method, 0.45% for the second, but as much as 2.73% for that of Ref. [11].

The effect on the convergence pattern of the developed methods is best illustrated by the logarithmic scaled curves of Figs. 2 and 3. The curves show that, convergence is approached faster in the case of the starting methods developed in this paper.

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Table 2. Absonute angular errors for the system of Fig. 1 in electrical degrees.

(tag	Nethod	Davelop	ed nethoda
190 .	Bef. 11	First	Secure)
1	1,737	1,711	1,574
2	1,794	1,780	2.546
4	1-599	1.295	1.297
5	0.862	0,862	0.645
6	0.817	0,815	0.724
#1	0.888	0.860	0.823
3	0.863	0.857	0.685
10	0.661	0.681	0.294
11	0.957	0.950	0.786
13	0.249	0.256	0.184
14	0.691	0.691	0.526
15	0.692	0,692	0.055
16	0.786	0.748	0.656
17	0.825	0.819	0.713

Table 3. Perroutage crown in ourtage magnitudes for the system of Fig. 1.

THE .	Hethod	Develope.	d rethods
No.	erf fin f = 33	First	Decond
1	6,19	0.37	1,20
2	7.55	0.23	35,27
4	7.25	0.18	0.54
5	4.50	0.42	3-13
45.5	0.09	0.08	0.50
н	0.17	0.02	0.17
9	0.05	0.08	-0.54
10	0.04	0.17	0.04
11	4.40	0.52	0.49
23	4.16	0.02	0.02
14	2,06	2.07	6.17
H 9 10 11 13 14 15 16	2.15	0.05	0.50
16	7.16	8,10	0.55
17	2.16	0,10	0.31

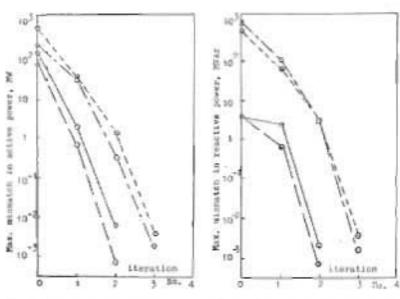


Fig. 2 Active power convergence characteristics for the system of Fig. 1.

Fig. 1 Reactive power convergence characteristics for the system of Fig. 4.

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To illustrate the effect on the solution reliability enforced by the developed methods, a load flow solution is carried out for the system of Fig. 1, this time however, with a large error included in the busbar data. Instead of 220 kV, a value of 420 kV is specified for busbar numbered 15. The results of this study are represented by the curves of Fig. 4. It is seen that, while no solution is possible by the Newton-Raphson method alone, a complete solution is guaranteed after only 2 iteration cycles when our first starting method is entered in the process. This means that, neither the reliability not the solution speed, gained by the application of our starting methods, are affected by the introduction of errors in the system input data.

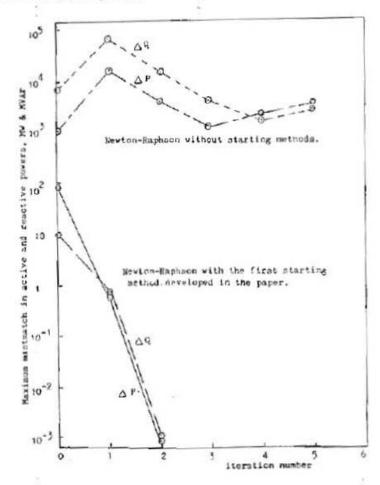


Fig. 3. Convergence characteristics for the case when an error of 200 kV is included in the data of bus 15 of the system of Fig.).

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APPLICATIONS TO LARGE PRACTICAL SYSTEMS

Tests involving large practical systems have also been carried out using only the first of the two developed starting methods for its relatively better performance. Pig. 5 shows the circuit diagram for one of such systems. It is a part of the Bulgarian 110, 220, and 400-kV interconnected electric energy network. This system consists 64 36 bushars, 84 branches of which 38 are transformers, and 13 reactive power sources. Two of the transformers have complex turns ratio and the bushar and branch input data are given in the Appendix, Tables A2 and A3. The slack bushar is numbered 50 in Fig. 3.

Fig. 6 gives the variations with the number of iteration cycles of the maximum mismatch in both active and reactive powers (convergence characteristics) for different solution conditions to the system of Fig. 5. These conditions include that of introducing some large errors in the input data and the execution of the solution with and without the starting method. All solutions are completed with a predetermined tolerance of 1 MW and 1 MVAr.

The curves show that, for no error introduced in the angut data, a solution is possible after 6 iterations by the main N-R algorithm alone. Should an error be introduced in the input data, no solution is obtained without the use of the starting method.

Conditions are however much improved, even where errors are introduced in the input data, when the action of the starting method is included in the calculations. For example, with an error of -93.5 kV is entered in the voltage data of busbar number 1 (i.e. 17.5 kV instead of 111 kV) a complete solution is obtained after only 4 iterations, which means that our starting method not only ensured a solution, but reduces the number of iteration cycles as well; by at least 33% in this case.

A much larger system has also been included in the study. The system has the following components:

- No. of busbers

293 :

- No. of branches

- 406.1

- No. of reactive power sources - 68 : and

- No. of transformers

* 61 of which 3 have complex turns ratio for voltage con-

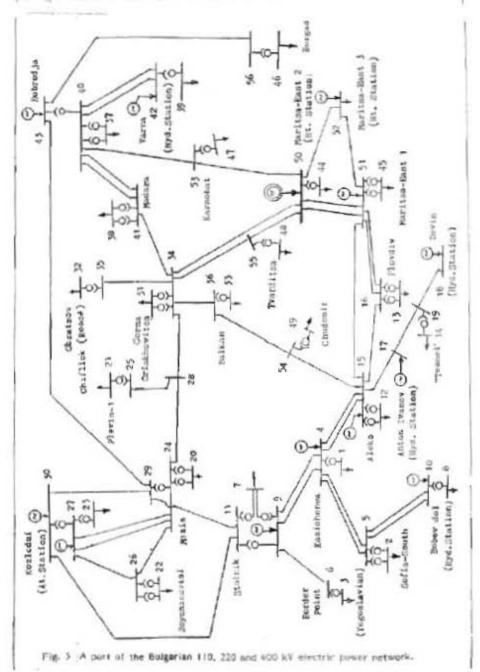
trol under loading conditions.

Solving this system without the starting method, a solution is obtained after 6 iteration cycles. Using the first of our developed starting methods, the complete solution is obtained after only 3 iteration cycles, even with some errors introduced in the input data. This represents a 50% reduction in the number of iteration cycles and, consequently, in the computing time.

If might be of interest to learn that, when our first starting method was included in optimisation calculations, the same effect on reliability and solution speed was also obtained. The system considered consisted of 229 busbers, 412 branches of which 62 are transformers, and 67 reactive power sources. Three of the transformers have complex turns ratio. In culculating an optimal regime without the straing method, no acceptable solution was obtained after 36 optimisation iterations. The target function was found to change very little for a wide range of change in the busber voltage values. With large errors introduced in the input data, the optimisation process without the starting method was iterrupted, by conditional informations, at the 11th iteration cycle. Conditional are much improved when the starting method is inserted in the process, and an optimal solution was attained after only 34 iteration cycles.

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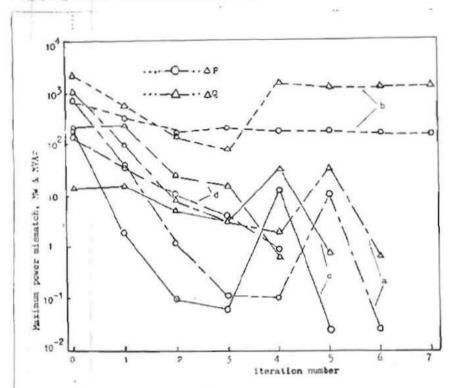


Fig. 6 *Convergence characteristics for different solution conditions to the system of Fig. 5.

N - R method with correct data and without starting method,

--N - R with an error of -100 kV in the voltage data of bus number 15 but without starting method,

-As b but with the starting method, and
-N - R with an error of -93.5 kV in the voltage data of busbar number 1 and with the starting method.

CONCLUSIONS 5.

Two starting algorithms for the Newton-Raphson load flows have been developed and intensively tested in this paper. The results of the tests are so consistant as to make precise conclusions possible.

In comparison with previously known starting methods, those developed here ensure better initial estimates for both magnitudes and phase angles of busbar voltages. Consequently, the reliability of obtaining a solution is increased and the solution time is reduced appreciably. For instance, for a large system with 293 busbars and 406 branches, the reduction has amounted to 50% of that necessary for the same solution without a starting method. In general, a pronounced reduction in the solution time shall always be guaranteed by the use of our starting methods.

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In addition, for system conditions where the Newton-Raphson method alone has failed, the use of the starting algorithms presented here has indeed proved very useful-Same advantages have also been ensured in optimisation calculations.

6. ACKNOWLEDGEMENTS

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8. APPENDIX

. Table A1. Branch data for the test system of Fig. 1

Branch from - to	R (A)	x (v)	Y _c (Ma)	Branch from - to	R (A)	x (N)	Y _e (µв)
1 - 2	7.7 9.36	14.0 19.6	968 1040	6 - 17	2.96 3.24	20.96	336 136
1 - 5	0	25.4	0	9 - 10	5.94	36.9	254
2 - 3	3.47	48.6	500	10 - 11	7.66	46.7	530
4 - 6	3.6	25.4	151	10 - 12	1.36	8.4	586 230
5 - 11	12.4	76.0	520	13 - 14	6.15	25.5	488
6 - 7	1.06	10.6	477	14 - 15	3.67	46.3	104
6 - B	4.87	30.7	197	15 - 16	2.96	18.2	550
6 - 99	2.45	15.1	105	16 - 17	0.67	5.0	156

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Table 52, frontar data for the actual system of Fig. 5.

Dat 1	$V_{\rm poin}, kV$	T W.	Q_,MYAF	Pot 196	9.,700	rs, tvl		CULT
b,			-	.c.	2	Technology and the last	rest.	*in
!	310	127	611			115		
	110	272	22			115		
	220	110	61	202	104	224		1
	220	. 0		0	0	124		
	220		0	0		223		
	110	279	54	1		117	0.0	
	110	109	36			118		
	720			118	39	225		
	120		140	217		230	150	500
	400		16	. 3	0	116		
١	110	100	109			119		
	110	46.7	20.5			112		
	220		115	14-4	27.8	219		
۱	220	. 0	. 0	0		214		
1	779		107.0	85.6	69.8	222		
ı	250	0.710	4	18.6	15+8	55.3		1
	270	0	0	0		116		
	110	0	0			106		
	110	0	- 4			157		
	110	0	- 0	1927	82	120		
	220	0	0	0	.0	224		
	220	0	0	0	0	209		
	720	. 0		. 0	0	121	-	
	770			197		234	269	290
	229 400	0	0	0	0	392		
	400			417	131	599-7		1
	110	213	72			105		
	110	100	31			101		
1	110	111	46	House	929	101		
	220	0	0	0	0	200		
	220	0	- 0	0	0	190		
	119	204	18	0	n	136		1
	110	240	71			107		N.
	110	115	3		4.00	1113		
H	220	0	D	0	0	213	1	14
	220	0	0	0	0	205	15976	1 523
	120	1		571		215-4	100	200
	800			NSG	-100	376		
	110	102	76			116		1
	110	553	43			817		10
	110	290	4			111		
	110	41	31			106		
	110	95	29			107		
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	220		176	0	6	212	Since.	1000
	220	122		0	.0	545		
	220	0	6	0	. 0	207		

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	Continued	from Ta	ble A2.	9.7			(40)
1.54	220	0	0	0	0	198	1
55	220	0	0	0	0	208	
56	400	0	0	0	0	372	

Table A3. Branch data for the actual system of Fig. 5.

From bus.	To bus.	emdo , R	X, ohms	r _c , jus	K _{TD} , p.u.	K _{TQ} ,p.u.	Á
43	29	9.39	95.0	960			
43	56	6.14	95.9	459			
29	11	5.12	31.98	330			
29	30	1.498	20.21	270.9			
30	11	5.24	56.5	696			
40	41	3.04	18.46	128			1
40	41	2.96	18.06	128			2
40	53	5.825	37-15	251.8			
40	42	0.7	6.54	58			1
40	'42	0.7	6.54	58			2
41	34	7.659	46.66	330			
53	50	5.463	34.85	236.2			
34	28	6.07	37.14	258			
34	36	4.704	19.2	118			
34	50	6.838	42.04	304			
34	35	5.26	32.341	224			
54	55	3.522	21.65	157			
55	50	3.316	20.59	147			
54	36	2.93	12.0	73			
28	25	0.28	1.76	12			
28	24	2.258	15.28	108			
24	26	4.87	30.8	210			
24	27	2.218	22.2	229.5			1
24	27	2.218	22.2	229.5			2
27	26	3.313	20.96	139			
6	9	0.7	4.35	34			
4	á	1.6	9.65	61			3
4	9	1.6	9.85	61			2
4	15	5.884	35.51	254			1
4 5 5	15	5.884	35.51	254			5
-	4	1.084	6.55	43.5			- 1
2	4	1.084	6.55	43.5			2 1 2 1
10	5	. 2.83	17.07	123			,
10	5		17.07	123			2
		2.83		460			~
15	51	4.811	48.55	127			
15	17	1.756	12.4	71			
15				259			
15	54	10.3	42.16	79.6			
17	19	2.318	11.69	29.3			
19	18	1.179	4.523				
16	51	5.87	37.4	253			1
16	51	9.75	39.8	244			1
51	50	2.56	15.58	110			5
51	50	2.89	18.35	124			5
50	52	2.584	24.725	398			
51	52	0.404	3.83	63			

IMPORTAGE: Pleasy type single spaced, under cospecto much Managed till methy passe depth, Photse number poors lightly in the poorst out its the area. Who does not

Cont./

Continued from Table A3.

From bun.	to bus.	E. ohms	X. ohms	ı/x _m , بعs	X _{TD} .p.u.	KTQ. P. u.	ŕ
40	37	0.59	26.45	-12.1	0.526		1
40	37	0.59	26.45	-12.1	0.526		1
43	40	0.619	25.14	- 4	0.574		
41	38	0.57	28.5	-12	0.557		1 2
41	38	0.57	28.5	-12	0.537		2
32	35	0.2	8.83	-93.4	1.818		
34	31	0.571	25.31	-12	0.537		3
34	32	0.571	25.31	-12	0.537		2
36	33	0.59	26.45	-12.1	0.537		
54	47	0.505	31.74	-12.1	0.558		
25	20	0.562	27.2	-12	0.557		
24	20	0.59	26.45	-12.1	0.526		
24	20	0.59	26.45	-12.1	0.526		:
29	24	0.556	25.4	- 4	0.501	0.661	
27	23	0.566	26.71	-13	0.537		
30	27	0.335	23.46	- 5	0.527		
11	9	0.556	25.4	- 4	0.589	1.957	
26	22	0.715	30.6	-15	0.537		
26	22	0.715	30.6	-15	0.537		1
7	9	0.2	8.83	-93.4	1.868		
5	6	0.2	8.85	-93.4	1.868		
7 5 1 2 2	4	0.2	8.83	-93.4	1.868		
2	5	0.2	8.83	-93.4	1.868		
2	5	0.2	8.85	-93.4	1.868		1
10	18	0.547	27.2	-15	0.526		
15	12	0.59	26.45	-12.1	0.537		-
15	12	2.38	77.59	-12.15	0.532		1
14	19	1.33	42.6	-22	1.818		
16	15	0.546	27	-26.4	0.547		
16	13	0.546	27	-26.4	0.547		1
48	55	0.791	31.06	-18.5	1.764		
53	47	1.519	42.75	-22.56	0.591		
42	46	0.59	26.45	-12.1	0.526		
44	50	0.2	8.83	-93.4	1.845		
46	56	0.077	1.025	-102.4	5.45		
51	45	0.59	26.45	-12.1	0.557		
51	45	0.59	26.45	-12.1	0.537		-
11	7	1.59	7.34	-64	0.515		

In the Table above:

X is transformer magnetising reactance:

K_{TO} is transformer turns ratio in the direct axis;
K_{TQ} is transformer turns ratio in the quadrature axis; and

denotes branches in parallel.

IMPORTANT: Phose type single opered violating to the subjection and full up the $p_{\rm c}=d_{\rm c}p_{\rm c}h$ the or market pages helds as the proof what the or a PI solver and optednie.